EST AVAILABLE COPY



BY TELEFAX 703-746-9195

DOCKET NO.: 4878

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE MATTER OF THE APPLICATION FOR PATENT

OF: Seiji NAKAHATA et al.

ART UNIT: 2812

SERIAL NO.: 10/535,741

| CONF. NO.: 9438

FILED: May 18, 2005

FOR: METHOD OF PROCESSING SURFACE OF NITRIDE SEMICONDUCTOR CRYSTAL AND NITRIDE SEMICONDUCTOR CRYSTAL OBTAINED WITH THE

METHOD

MAIL STOP MISSING PARTS COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

October 26, 2005

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Dear Sir:

The Official Filing Receipt contains errors. Please correct the 1) following items as shown on the enclosed marked-up copy of the Filing Receipt:

- **DRAWINGS**
- Applicant(s)
- Assignment
- Title

The requested corrections are supported by the application 2) transmittal letter and the inventors' Declaration filed on May 18, 2005.

3) Please issue a corrected Filing Receipt.

> Respectfully submitted, Seiji NAKAHATA et al.-Applicant

WFF: ks/4878

copy of OFR

W. F. Fasse -Patent Attorney

Enclosure: Hand-corrected

Reg. No.: 36132 Tel: 207 862 4671

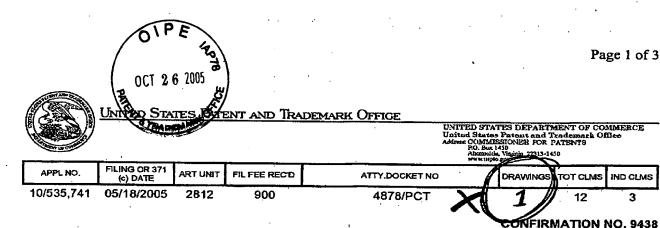
Fax: 207 862 4681

P.O. Box 726, Hampden, ME 04444-0726

CERTIFICATE OF FAX TRANSMISSION:

I hereby certify that this correspondence with all indicated enclosures is being transmitted by telefax to 703-746-9195 on the date indicated below, and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Karin Smith - Date: October 26, 2005



21553 FASSE PATENT ATTORNEYS, P.A. P.O. BOX 726 HAMPDEN, ME 04444-0726

HECEIVED

OCT 0 3 2005

FASSE PATENT ATTORNEYS

FILING RECEIPT OC0000000170461541

Date Mailed: 09/28/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Itami-shi

Seiji Nakahata, Hyogo, JAPAN; Ryu Hirota, Hyage JAPAN; Keiji Ishibashi, Hyego JAPAN; Itami-shi Takatomo Sasaki, Suita-shi, JAPAN:

Yusuke Mori, Katano-shi, JAPAN;

fatent A Industries for Published Sumitomo Electric Industries, Ltd., Osaka-Vusuke MORI, Katano-shi, JAPAN Power of Attorney: The patent practitioners associated with Customer Number 21553. Ltd., Ośaka-shi, JAPAI

Domestic Priority data as claimed by applicant

This application is a 37'l of PCT/JP04/08090 06/03/2004

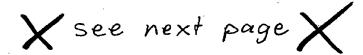
Foreign Applications

JAPAN 2003-170584 06/16/2003

Projected Publication Date: 12/29/2005

Non-Publication Request: No

Early Publication Request: No

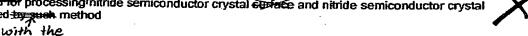


Page 2 of 3



surface of Method of

→ Mehtod for processing nitride semiconductor crystal confece and nitride semiconductor crysta obtained by such method



Preliminary Class

438

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

> LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED* followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof